

CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY
COMMITTEE FOR THE PROTECTION OF HUMAN SUBJECTS

CHECKLIST FOR RESEARCH INVOLVING PRISONERS

Principal Investigator (please print):

Project Title:

Does the project receive **any funding** from the federal Dept. of Health and Human Services (e.g., CDC, NIH, SAMHSA)? Yes ☐ No ☐

1. Does the research fall into one of the permitted categories below?

- Study the possible causes, effects, and processes of incarceration, and criminal behavior, provided that the study presents no more than minimal risk and no more than inconvenience to the subjects? Yes ☐ No ☐
- Study prisons as institutional structures or prisoners as incarcerated persons, provided that the study presents no more than minimal risk and no more than inconvenience to the subjects? Yes ☐ No ☐
- Study conditions particularly affecting prisoners as a class? Yes ☐ No ☐
- Study practices, both innovative and accepted, which have the intent and reasonable probability of improving the health and well-being of the subjects? Yes ☐ No ☐

2. Are the possible advantages accruing to the prisoner, when compared to general prison living conditions, medical care, amenities, and opportunity for earnings, not of such a magnitude that the subject's ability to weigh the risks of research against the value of such advantages in the limited choice environment will be impaired? Yes ☐ No ☐

3. Are the risks involved in the research commensurate with risks that would be accepted by non-prisoner volunteers? Yes ☐ No ☐

4. Are the procedures for the selection of subjects within the prison fair to all prisoners and immune from arbitrary intervention by prison authorities or prisoners? Yes ☐ No ☐

CPHS Use Only

Project # _____

Reviewer: _____

Date: _____

Rec: _____

To Rev: _____

From Rev: _____

**Reviewer
Concurs?**

Yes No

Yes No

Yes No

Yes No

Yes No

Yes No

Yes No

Yes No

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Project #

**Reviewer
Concurs?**

5. Are control subjects selected randomly from the group of available prisoners who meet the characteristics needed in the research project?

Yes ☐ No ☐

Yes No

6. Is the information presented understandable to the study population?

Yes ☐ No ☐

Yes No

7. Is adequate assurance provided that parole boards will not take into account a prisoner's participation in researching in making decisions regarding parole?

Yes ☐ No ☐

Yes No

8. Is each prisoner clearly informed in advance that participation in the research will have no effect on his or her parole?

Yes ☐ No ☐

Yes No

9. Has adequate provisions been made for examination and care of participants if such may be needed after the end of their participation?

Yes ☐ No ☐

Yes No

Principal Investigator Signature: _____ Date: _____

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☐ **Approved** ☐ **Approval Deferred Pending Revisions (Provide Comments)** ☐ **Not Approved**

Comments:

Primary Reviewer Signature: _____ Date: _____

Checklist for Prisoners Research 6-08